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# Hoare Logic for Multiprocessing

(Work in progress)

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- A formal system, introduced in 1969, used to study the correctness of computer programs
- It makes use of **logical rules** (hence the name), both in the form of **axioms** and **inference rules**
- The verification of computer programs is conducted through the use of **Hoare Triples**, i.e. rules of the following form:

$$\{ \textit{precondition} \} \textit{command} \{ \textit{postcondition} \}$$

meaning: when we find ourselves in a particular situation (explained by the precondition) and we execute a command, we will end in a state defined by the postcondition

# Hoare Logic applied to concurrent programs

- Hoare Logic is a very **flexible** formal system (it can be used to study sequential, recursive, parallel and even distributed programs)
- This flexibility has a price: it's not very well suited for studying parallel programs (especially the ones with **shared variables**)

There are two reasons for this:

- in order to study the correctness of a parallel program, we must first transform it into an equivalent sequential one via the interleaving semantics
- the **interference freedom** controls are **very heavy**, forcing us to take care of many different possible computation paths

# Refinements for Hoare Logic

- In order to overcome some of these limitations, a number of refinements to the classical Hoare Logic have been introduced, one of which is the so-called **Separation Logic**
- Hoare Triples take a slightly different meaning
- The focus is on the **local execution** of computer programs: instead of looking at the global state of the system, we only look at the portion of memory that a specific piece of program is addressing
- It's still based on the interleaving semantics

# What this work is about

This work is a refinement of Hoare Logic in a different direction: we introduce a sort of **true concurrency operational semantics** and a suitable version of Hoare Logic for the verification of computer programs.

This new operational semantics is not based on interleaving, but it captures a **multiprocessing execution**.

This is achieved by means of a multiple concurrent execution of the largest possible number of **disjoint** (or non-racy) processes.

# Advantages of this new approach

This new way of handling concurrent programs has a couple of interesting advantages compared to the classical approach, making it **faster** and **simpler**:

- there is no need to sequentialize the program to verify its correctness
- among all the possible computation paths, we immediately see those that will never be followed, and we can therefore avoid them
- **the interference freedom checks are done at a local level only**

## Definition (Syntax)

$$(\mathcal{L}_{par} \ni) S ::= skip \mid x := t \mid S_1; S_2 \mid \textit{await } B \textit{ then } S \textit{ end} \mid \\ \textit{if } B \textit{ then } S_1 \textit{ else } S_2 \textit{ fi} \mid \textit{while } B \textit{ do } S_1 \textit{ od} \mid [S_1 \parallel \dots \parallel S_n]$$

where

- programs not containing the  $\parallel$  operator are called (*sequential components*);
- *await B then S end* is a conditional atomic section;
- the program *S* in the *conditional atomic section await B then S end* contains neither the  $\parallel$  operator nor *while* subprograms;
- the components  $S_1, \dots, S_n$  in the parallel composition  $[S_1 \parallel \dots \parallel S_n]$  do not contain the  $\parallel$  operator
- we consider as atomic actions the skip, assignments, conditional atomic sections and evaluations of Boolean guards.

## Definition (Non-racy atomic actions and parallel transition rule)

(i) We say that two atomic actions  $A_1$  and  $A_2$  are pairwise **disjoint** (or non-racy) if

- $A_1$  and  $A_2$  are **not** conditional atomic sections and
- $change(A_i) \cap var(A_j) = \emptyset$  for  $i, j \in \{1, 2\}$  and  $i \neq j$

(ii) Multiprocessing parallel transition rule:

$$\frac{\{ \langle S_i, \sigma \rangle \rightarrow \langle S'_i, \tau_i \rangle \}_{i \in I}}{\langle [S_1 \parallel \dots \parallel S_n], \sigma \rangle \rightarrow \langle [T_1 \parallel \dots \parallel T_n], \biguplus_{i=1}^n \tau_i \rangle}$$

where  $\{S_i\}_{i \in I}$  is a maximal set of components executing disjoint atomic actions, and  $T_i = \begin{cases} S_i & \text{if } i \notin I \\ S'_i & \text{if } i \in I. \end{cases}$



In this **operational semantics** we could slightly modify the parallel transition rule to allow the execution of an arbitrary number of processes and not necessarily the largest possible one.

This could be useful in a setting with a specific number of processors: if  $k$  is the number of processors, we can decide to execute up to  $k$  processes concurrently, even if this is not the largest possible set of disjoint components.

One could even think about executing non-racy **code sections** instead of simple atomic actions, e.g. in a setting of **cloud computing**

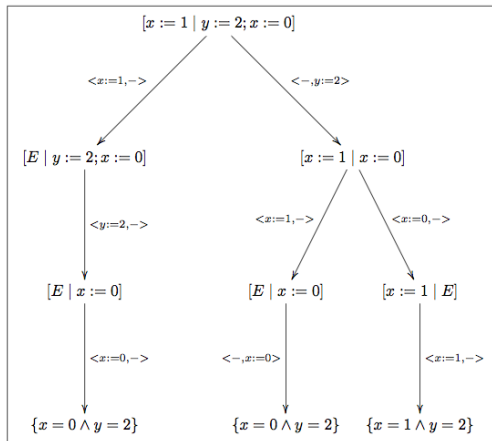
Our multiprocessing semantics is **included in the standard semantics**: we have a smaller number of final states.

# Example: interleaving semantics

Let's look at the computations of the simple parallel program

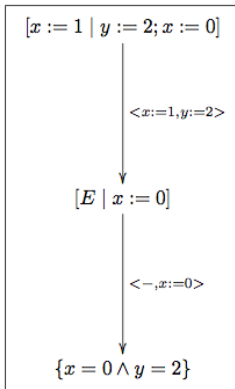
$$\langle [x := 1 \parallel y := 2; x := 0], \sigma \rangle$$

using the interleaving semantics:



# Example: multiprocessing semantics

The same program gives rise to a single computation in the case of multiprocessing semantics:



# Verification - some definitions

- A **proof outline**  $S^*$  is a program annotated with assertions
- A proof outline is said to be **standard** if every subprogram  $T$  of  $S$  is preceded by exactly one assertion
- We study the correctness of parallel computer programs w.r.t. multiprocessing operational semantics, starting from this notion of proof outline together with the notion of **abstract computation**

The first two definitions are standard in Hoare Logic.

## Definition (Abstract Transition System and Computation)

(i) The *abstract transition system* consists of rules for deriving judgements  $S \xrightarrow{l} S'$ , where  $l$  is a label representing a list of parallelly-executed atomic actions or the empty action  $\varepsilon$ , i.e.:

$$l ::= \text{skip} \mid x := t \mid B \mid \varepsilon \mid \text{await } B \text{ then } S \text{ end} \mid \langle l_1, \dots, l_n \rangle .$$

(ii) An *abstract computation* is a (finite or infinite) sequence  $S \xrightarrow{l_1} S_1 \xrightarrow{l_2} \dots \xrightarrow{l_n} S_n \dots$

# Abstract transition system - rules

## Definition

The abstract transition rules are the following:

$$\begin{array}{c} \frac{}{\text{skip} \xrightarrow{\text{skip}} E} \quad \frac{}{x := t \xrightarrow{x:=t} E} \quad \frac{S \xrightarrow{\varepsilon} S}{S_1 \xrightarrow{l_1} S'_1} \\ \frac{}{\text{await } B \text{ then } S \text{ end} \xrightarrow{\text{await } B \text{ then } S \text{ end}} E} \quad \frac{S_1; S_2 \xrightarrow{l_1} S'_1; S_2}{} \\ \frac{}{\text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi} \xrightarrow{B} S_1} \quad \frac{}{\text{if } B \text{ then } S_1 \text{ else } S_2 \text{ fi} \xrightarrow{\neg B} S_2} \\ \frac{}{\text{while } B \text{ do } S \text{ od} \xrightarrow{\neg B} E} \quad \frac{}{\text{while } B \text{ do } S \text{ od} \xrightarrow{B} S; \text{while } B \text{ do } S \text{ od}} \\ \frac{\{S_i \xrightarrow{l_i} S'_i\}_{i \in I}}{[S_1 \parallel \dots \parallel S_n] \xrightarrow{\langle l'_1, \dots, l'_n \rangle} [S'_1 \parallel \dots \parallel S'_n]} \end{array}$$

where  $\{S_i\}_{i \in I}$  is a maximal set of components executing disjoint atomic actions and  $l'_i = \begin{cases} l_i & \text{if } i \in I \\ \varepsilon & \text{if } i \notin I. \end{cases}$

# Program verification

We study the correctness of parallel programs  $[S_1 \parallel \dots \parallel S_n]$  in **three sequential steps**.

**Step 1:** **creation of the proof outlines** of the program components. The creation of the single proof outlines is done the usual way: we decorate program components with assertions and invariants, using rules of standard Hoare Logics for partial correctness.

**Step 2:** creation of the global graph of abstract computations decorated with assertions, and discussion of termination via global termination functions

**Step 3:** local interference freedom controls

# Program verification - Step 2: the graph

**Step 2:** Given a program  $S \equiv [S_1 \parallel \dots \parallel S_n]$ , we build the (finite rooted) graph representing the abstract computations generating from  $S$ . Each node  $n$  represents a point in the computation of  $S$ , and it is labeled by the  $n$ -tuple of assertions  $\langle p_{j_1}^1, \dots, p_{j_n}^n \rangle$  appearing in the proof outlines at that point.

- The construction of the graph starts from the root  $n$ , which is labeled with the initial assertions
- then, for each created node  $n'$  corresponding to  $[S'_1 \parallel \dots \parallel S'_n]$ , for each transition  $[S'_1 \parallel \dots \parallel S'_n] \xrightarrow{\langle l_1, \dots, l_n \rangle} [S''_1 \parallel \dots \parallel S''_n]$ , a new node  $n''$  corresponding to  $[S''_1 \parallel \dots \parallel S''_n]$  is built, if it does not already exist, and an arc is drawn from  $n'$  to  $n''$ , labeled by  $\langle l_1, \dots, l_n \rangle$ , if the conjunction of all guards appearing in  $\langle l_1, \dots, l_n \rangle$  and all assertions labeling  $n'$  is not false.



# Example

Let's study the correctness of the following parallel program:

$$\{ \mathbf{true} \} [ x := 0; \mathit{while}(x \neq 0 \vee y \neq 1) \mathit{do} x := 1 \mathit{od} \parallel y := 1 ] \{ \mathbf{x} = \mathbf{0} \wedge \mathbf{y} = \mathbf{1} \}$$

Notice that the program with interleaving semantics can lead to non termination (even the single component may not terminate). Thus the need of a global termination function for proving the termination of the program with the multiprocessing semantics.

# Example - Step 1: proof outlines of the components

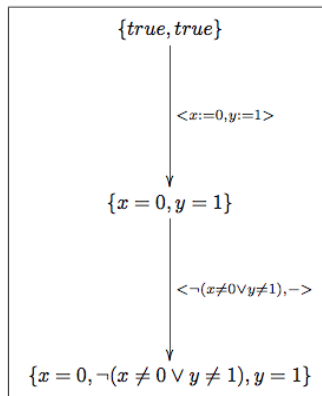
## First component

```
{ true }  
x := 0  
{ x = 0 } { inv : true }  
while (x ≠ 0 ∨ y ≠ 1) do { x ≠ 0 ∧ y ≠ 1 }  
  x := 1  
{ true }  
od  
{ ¬(x ≠ 0 ∧ y ≠ 1)}
```

## Second component

```
{ true }  
y := 1  
{ y = 1 }
```

## Example - Step 2: the graph



# Program verification - Step 2: the algorithm

$N = \emptyset$

create the root node:  $\langle p_0^1, \dots, p_0^n \rangle$

add the root node to the set  $N$

**while**  $N \neq \emptyset$  **do**

take a node  $\langle p_1^1, \dots, p_1^n \rangle$  corresponding to  $[S'_1 \parallel \dots \parallel S'_n]$  from  $N$

$N = N \setminus \{ \langle p_0^1, \dots, p_0^n \rangle \}$

**for all**  $[S'_1 \parallel \dots \parallel S'_n] \xrightarrow{\langle l_1, \dots, l_n \rangle} [S''_1 \parallel \dots \parallel S''_n]$  **do**

**if**  $\bigwedge$  of the guards in the label and  $\bigwedge_{i=1}^n p_i^i \equiv \text{False}$  **then**

skip

**else**

**if** the node  $\langle p_2^1, \dots, p_2^n \rangle$  corresponding to  $[S''_1 \parallel \dots \parallel S''_n] \in N$  **then**

create edge from  $\langle p_1^1, \dots, p_1^n \rangle$  to  $\langle p_2^1, \dots, p_2^n \rangle$  and label it

$\langle l_1, \dots, l_n \rangle$

**else**

create node  $\langle p_2^1, \dots, p_2^n \rangle$  and create the edge and label it

$\langle l_1, \dots, l_n \rangle$

add the node  $\langle p_2^1, \dots, p_2^n \rangle$  to  $N$

**end if**

**end if**

**end for**

**end while**

# Program verification - Step 3: local interference freedom controls

**Step 3:** The non-interference checks can be performed at a **local level**. The final global graph in fact represents all the possible computations generating from the initial parallel program. We can therefore study the interference freedom locally for every branch, by checking that the execution of the current atomic action in a component does not interfere with the assertions appearing in the other components.

- Refinement of Hoare Logic to be used in a **true concurrency** setting
- Verification of parallel programs by applying a **3-step algorithm** based on the notion of **abstract computation** and abstract transition system
- Local interference freedom controls
- Global termination functions

- Game semantics of multiprocessing systems
- Coalgebraic semantics
- Other algorithms can be studied using this new technique
- Using this approach in a setting of distributed programming or cloud computing